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Integrating presumptive and confirmatory semen tests into DNA profiling of sexual assault evidence: a Philippine example

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Abstract

Background: In sexual assault casework, the detection of semen on a sample supports an allegation of sexual contact and indicates the presence of DNA from a male source. Ideally, techniques employed should demonstrate high sensitivity and specificity and should be compatible with standard DNA typing procedures. To contribute recommendations for routine DNA tests of sexual assault cases in the Philippines, we evaluated the RSID™-Semen, an immunochromatographic test for human semenogelin (Sg), in parallel with the alternate light source (ALS) method using Mini BLUMAXX™ III and the acid phosphatase (AP) test using Seminal Fluid DISCHAPS™.

Results: The ALS detected semen diluted until 5% (v/v) fluid, whereas the AP assay was sensitive down to 0.5% (v/v). Non-blood-containing stains were visible under blue light except on dark-colored cloth. All semen-containing fluids including post-ejaculatory urine tested positive for AP and Sg. RSID™-Semen was sensitive down to 0.5 nL of semen; did not cross-react with blood, saliva, and female urine; and successfully detected Sg on the majority of post-coital samples tested. Complete short tandem repeat (STR) profiles of the semen donors were generated for most samples incubated in the RSID™-Universal Buffer (UB). However, we report an extraction efficiency of 15% for UB which can lead to partial profiles in already compromised samples.

Conclusion: We recommend the use of ALS in visualizing stains present on surfaces and the AP test on blood-stained materials. These presumptive tests should be followed by RSID™-Semen test to confirm the presence of semen prior to DNA profiling.

Keywords: Semen detection, Sexual assault, Semenogelin, Acid phosphatase test, Alternate light source, Short tandem repeat typing, Philippines

Background

Women and Child Protection Units (WCPUs) in Philippine government hospitals are mandated by the Department of Health (DOH) to administer to women and children victims of abuse (DOH Administrative Order 2013-0011). In 2017, WCPUs received 8002 reports of sexual abuse in women and children (Child Protection Network Annual Report 2017). Despite the high number of crimes, there are only three laboratories in the country that can perform forensic DNA analysis for sexual assault investigations—the DNA Analysis Laboratory at the Natural Sciences Research Institute, University of the Philippines Diliman, and the crime

laboratories of the Philippine National Police (PNP) and the National Bureau of Investigation (NBI). The absence of a law requiring the routine collection, proper storage, and testing of biological specimens (Sugue-Castillo 2009) contributes to the backlog of cases that mostly use testimonial evidence in courts of law, as well as the degradation of samples due to improper handling and exposure to warm and humid conditions prevalent in tropical regions.

Before DNA is extracted from specimens collected from a medico-legal examination or recovered from crime scenes, different methods for detecting semen and other body fluids are employed. Such screening tests, while determining the tissue source of the DNA, are usually relevant in supporting activity level propositions, for example, that sexual intercourse occurred between two persons (Taylor et al. 2018). As semen may be

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present in trace amounts (Hall and Ballantyne 2003), diluted, or commingled with other body fluids (Apostolov 2014), techniques employed should demonstrate high sensitivity and specificity. In addition, to successfully link the criminal activity to the identity of its perpetrator, the procedure should allow DNA extraction to be directly performed on the same materials tested for semen.

It is often useful to conduct a preliminary screening to narrow down possible locations of semen stains. An alternate light source (ALS) such as an ultraviolet (UV) lamp can be used in locating stains that are otherwise invisible or difficult to observe under normal lighting conditions. Different stains fluoresce under ALS (Santucci and Nelson 1999; Vandenberg and van Oorschot 2006) with optimum excitation at certain wavelengths. Semen is best detected under blue light (~ 450 nm) viewed through orange barrier filter goggles (Vandenberg and van Oorschot 2006). A more specific test targets seminal acid phosphatase (AP), where a positive reaction gives a purple color (Greenfield and Sloan 2003). Results of ALS and AP tests are presumptive (Davies and Wilson 1974; Hooft and Van De Voorde 1990, 1994; Santucci and Nelson 1999; Greenfield and Sloan 2003; Vandenberg and van Oorschot 2006) and should be followed by a confirmatory test for semen.

Microscopic examinations for sperm cells using stains such as nuclear fast red and picroindigocarmine (Christmas tree stain) or hematoxylin and eosin (H&E) (Paterson et al. 2006; Sanders et al. 2006) are standard confirmatory tests for semen but are not useful when the human source is azoospermic or when cells had already disintegrated. Since majority of child sexual abuse victims examined by Philippine WCPUs in a 4-year period (January 2002-March 2006) were reportedly negative for sperm (Maiquilla et al. 2011), an approach other than microscopy to confirm the presence of semen must be adopted for routine screening. One such test, the RSIDTM-Semen (Independent Forensics, IL, USA) detects human semenogelin (Sg), a protein abundantly expressed in semen (Sato et al. 2001; Lundwall et al. 2002), via immunochromatography. A sample suspected to contain semen is incubated in RSIDTM-Universal Buffer (UB) (Independent Forensics 2011) then the resulting extract is deposited onto the sample well of the cassette. Two red lines on the cassette strip indicate a positive result while a single line means negative.

To contribute to recommendations for processing and analysis of sexual assault evidence in Philippine forensic laboratories, we evaluated the sensitivity and specificity of three procedures, namely the use of a blue (450 nm) ALS or the Mini BLUMAXXTM III (Sirchie®, NC, USA), an AP test kit or the Seminal Fluid DISCHAPSTM (Sirchie®, NC, USA), and the Sg detection kit, RSIDTM-Semen. We further assessed the extraction efficiency of RSIDTM-Universal Buffer and performed ALS and Sg tests on various post-coital samples prior to DNA extraction to evaluate

the suitability of integrating the tests into a routine short tandem repeat (STR) DNA profiling workflow.

Materials and methods

Collection and storage of samples

Five males, ages 19 to 26 years (designated as males 1 to 5), provided semen samples. One of the donors (male 5) also submitted a post-ejaculatory urine sample. A female volunteer who had no sexual contact for several weeks prior to sample collection provided saliva, peripheral blood, and urine samples. A male-female couple and male-male couple each provided five post-coital swabs (vaginal and anal swabs, respectively), two used condoms, and two pieces of stained cotton-polyester underwear worn for at least 1 h post-coitus by the receptive partner. Each specimen was obtained separately from a total of nine occasions of sexual intercourse. Reference blood samples were blotted onto individual FTATM Classic cards (GE Healthcare, Little Chalfont, UK). Liquid specimens were maintained at 4 °C storage and processed within the day of collection. Post-coital samples were air-dried for at least 1 h before sealing in conical tubes or paper envelopes before transport to the laboratory. These were kept at room temperature until processing (0–72 days).

Sensitivity study

For the ALS and AP tests, dilution series (1/2, 1/4, 1/8, 1/20, 1/200, 1/2000, 1/20,000, 1/200,000, and 1/2,000,000) in phosphate-buffered saline (PBS) were prepared from the semen samples ($n = 5$). Each semen/PBS dilution was deposited onto 49-cm² cotton cloth in 150- μ L aliquots and then air-dried. These stains were photographed under normal lighting and through orange barrier filter goggles under the Mini BLUMAXXTM III LED illuminator. AP test using Seminal Fluid DISCHAPSTM was conducted on the same setups following manufacturer's protocol. This involved pressing a moistened filter paper on the cloth, mixing chemicals contained in an ampoule provided then treating the filter paper with the liquid from the ampoule. Positive results for semen appeared as purple coloration on the paper after 2 min.

For the Sg test, two different stock extracts were prepared for the semen samples ($n = 5$) using UB to achieve a wide range of concentrations that overlap with the semen/PBS dilution series. For each sample, a 50- μ L aliquot was deposited each onto two 400-mm² cotton cloths and air-dried. One cloth was incubated in 400 μ L UB for 2 h. The resulting extract (stock A) was serially diluted (1/2, 1/4, 1/8, and 1/16) with UB. The other cloth was incubated in 1 mL UB (stock B) and subsequently serially diluted (1/10, 1/100, 1/1000, 1/10,000, and 1/100,000) with UB. Diluted semen samples were deposited in 100- μ L aliquots onto sample wells of RSIDTM-Semen cassettes. Positive and negative results were read after 10 min.

Table 1 lists the concentrations achieved for each preparation in percent volume/volume (% v/v).

Specificity and interference studies

Neat semen and post-ejaculatory urine samples from male 5; neat saliva, urine, and peripheral blood samples from the female donor; and mixtures in equal proportions of components (mixture 1—peripheral blood, saliva, and female urine; mixture 2—peripheral blood, saliva, and post-ejaculatory male urine; mixture 3—semen, peripheral blood, saliva, and female urine; mixture 4—semen, peripheral blood, saliva, and post-ejaculatory male urine) were deposited in 150- μ L aliquots onto 49-cm² cotton-polyester cloth, air-dried, then subjected to ALS and AP tests as previously described. In addition, 100- μ L semen samples from male 5 were deposited onto 49-cm² fabric of different types namely cotton-polyester, rayon, silk, satin, wool, and denim of three different shades; air-dried; and visualized using ALS. For the Sg test, 50 μ L each of the same neat and mixed samples were deposited onto separate 400-mm² cotton-polyester cloth, air-dried, and submerged in 1 mL UB for 2 h. Aliquots of 100- μ L extract were deposited on the cassettes.

Extraction efficiency of RSIDTM-Universal Buffer based on DNA recovery

Each semen sample from five males was deposited in 50- μ L aliquots onto four separate 49-mm² cuttings of cotton-polyester cloth and dried in the dark at room temperature for about 48 h. Each cutting was processed according to

one of four extraction procedures: (1) DNA extraction of the stain using phenol-chloroform-isoamyl procedure (organic extraction); (2) 2-h incubation in 300 μ L UB followed by organic extraction; (3) DNA extraction of the stain using QIAamp DNA Micro kit (QIAGEN, Hilden, Germany) procedure (silica-based extraction); and (4) 2-h incubation in 300 μ L UB followed by silica-based extraction. The unincubated cuttings (numbers 1 and 3) and a 100- μ L aliquot of each UB extract (numbers 2 and 4) were used as starting materials for DNA extraction. DNA quantitation was carried out with the AB[®] 7500 real-time polymerase chain reaction (PCR) machine (Thermo Fisher Scientific, MA, USA) using the Plexor HY Human DNA Quantitation Kit (Promega Corporation, WI, USA). DNA was amplified using two STR multiplex systems, namely PowerPlex[®] 21 and PowerPlex[®] Y23 Systems (Promega Corporation, WI, USA), in reduced volume (10 μ L) reactions containing 0.5-ng DNA template. Low-concentration DNA was amplified in full volume (25 μ L) to enable the addition of the 0.5-ng template. PCR amplification was performed using the AB GeneAmp[®] 9700 (Thermo Fisher Scientific, MA, USA). Amplified fragments were separated and detected with the AB[®] 310 and 3500 Genetic Analyzers (Thermo Fisher Scientific, MA, USA) and analyzed with GeneMapper[®] ID-X software version 1.2 (Thermo Fisher Scientific, MA, USA) following prescribed protocols.

DNA yields were compared between buffer incubated and non-incubated samples. Since only one-third of the buffer extract (100- μ L aliquot of 300 μ L) was used for DNA extraction, UB extraction efficiency was estimated

Table 1 Sensitivity of three semen detection tests. Positive or negative scores are shown for each donor (males 1–5)

Concentration of semen (% v/v) ^a	ALS					AP					Sg				
	1	2	3	4	5	1	2	3	4	5	1	2	3	4	5
100	+	+	+	+	+	+	+	+	+	+	n.d.	n.d.	n.d.	n.d.	n.d.
50	+	+	+	+	+	+	+	+	+	+	n.d.	n.d.	n.d.	n.d.	n.d.
25	+	+	+	+	+	+	+	+	+	+	n.d.	n.d.	n.d.	n.d.	n.d.
12.5	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
6.25	n.d.	+	+	+	+	+									
5	+	–	–	–	–	+	–	+	–	+	+	+	+	+	+
3.125	n.d.	+	+	+	+	+									
1.5625	n.d.	+	+	+	+	+									
0.8929	n.d.	+	+	+	+	+									
0.5	–	–	–	–	–	–	–	–	–	+	+	+	+	+	+
0.05	–	–	–	–	–	–	–	–	–	–	+	+	+	+	+
0.005	–	–	–	–	–	–	–	–	–	–	+	+	+	+	+
0.0005	–	–	–	–	–	–	–	–	–	–	+	–	+	–	–
0.00005	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–
0 ^b	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–

n.d. not done (as different series of diluted semen were prepared separately for ALS/AP and Sg tests, not all concentrations listed were applicable to the tests)

^aSemen lost due to < 100% efficiency of UB extraction was not accounted for in the computation

^bThis represents the negative control where PBS was used for the ALS/AP tests and UB for the Sg test

as the proportion of three times the DNA yield of a buffer incubated stain over the DNA yield of its corresponding non-incubated stain. Values were averaged across all samples. Percent allele recovery was calculated as the proportion of the observed number of alleles in a sample to the total number of expected alleles in the reference DNA profile of its donor.

Semen detection and DNA typing of post-coital samples

Condoms and underwear were inspected and photographed under normal lighting and through orange barrier filter goggles under blue light. Small cuttings (~100 mm²) were obtained from areas on the underwear where the presence of stain was observed. For the female underwear, five cuttings were obtained from each piece, while two and eight cuttings each were sampled, respectively, from the first and second collections from the male receptive partner. Two cotton swabs moistened with sterile distilled water were rubbed on the external surface and another two on the internal surface of condoms. Cotton battings from the vaginal/anal and condom swabs and underwear cuttings were individually submerged in 400 µL UB for 2 h in room temperature. Aliquots of 10 µL were obtained from each extract and diluted with 90 µL of UB. The resulting 100-µL diluted extracts were each deposited onto pre-labeled cassettes. Sg results were scored after 10 min.

DNA was extracted using two procedures, organic extraction and the silica-based QIAamp DNA Micro following the manufacturer's protocol. In both methods, 100 µL of each UB extract was used as starting material. STR DNA typing proceeded as described in the previous section. For the resulting electropherograms (epgs), percent recovery was calculated for each contributor as the proportion of his/her own unshared alleles observed over the total number of unshared alleles in his/her reference DNA profile.

Results

Sensitivity study

Results of the three tests on decreasing concentrations of semen from five males are presented in Table 1. In both the ALS and AP tests, positive results were observed consistently until 12.5% (v/v) semen. The ALS can still detect the stain down to 5% (v/v) of one semen sample, whereas the AP test until 0.5% (v/v) of one sample. Additional file 1: Figure S1-S2 shows representative photographs of the sensitivity results for ALS and AP tests. In the Sg test, positive results were observed in all five samples until 0.005% (v/v) of the extract or a total input volume of 5 nL semen. Two of five samples further tested positive at 0.0005% (v/v) (total semen input volume 0.5 nL). The band along the test line decreased in intensity for semen concentrations starting at 0.5% (v/v) (500 nL) semen and higher indicating a high-dose hook effect (Additional file 1: Figure S3). The

concentrations are conservative estimates as semen lost due to <100% extraction by UB was not accounted for, albeit an estimate of extraction efficiency based on DNA quantitation is reported below.

Specificity and interference studies

Table 2 summarizes the results of the three tests on semen, non-semen fluids, and mixtures. Specificities of AP and Sg tests were concordant in showing positive results only for semen-containing and post-ejaculatory urine-stained material. The ALS caused semen and male and female urine to fluoresce intensely, while the saliva stain was only weakly visible. The urine stain can be distinguished by its scattered distribution and coarse edges, while the semen stain was more localized with a smooth outline. None of the blood-containing stains fluoresced (Fig. 1).

With the ALS test on different fabric types, semen was most conspicuous on the cotton-polyester cloth, with the stain appearing on a wide area. The stain on rayon was intensely visible but covered a smaller area, while the stain on silk had a weak contrast. Even weaker contrast was exhibited by the stain on satin where the background also fluoresced under the lighting. Stains were not visible on darkly colored wool and denim (Additional file 1: Figure S4).

Extraction efficiency of RSID™-Universal Buffer and allele recovery in UB-extracted samples

An average of 15% recovery of semen DNA from cotton-polyester material was observed when UB was used (Table 3). The five semen samples extracted using four procedures, amplified using two multiplex systems, and analyzed using two capillary electrophoresis (CE) systems resulted in 80 epgs. All autosomal and Y-chromosomal amplifications on non-incubated samples resulted in full allele recovery. Seven of the 80 epgs, all from males 2 and 3, and from UB incubated samples, showed partial recovery. Three autosomal epgs had recoveries from 64% to

Table 2 Specificity of three semen detection tests

Specimen tested	ALS	AP	Sg
Semen	+	+	+
Peripheral blood	-	-	-
Saliva	+ (weak)	-	-
Female urine	+	-	-
Post-ejaculatory male urine	+	+	+
Mixture 1	-	-	-
Mixture 2	-	+	+
Mixture 3	-	+	+
Mixture 4	-	+	+

Mixture 1: peripheral blood, saliva, and female urine (1:1:1)

Mixture 2: peripheral blood, saliva, and post-ejaculatory male urine (1:1:1)

Mixture 3: semen, peripheral blood, saliva, and female urine (1:1:1:1)

Mixture 4: semen, peripheral blood, saliva, and post-ejaculatory male urine (1:1:1:1)

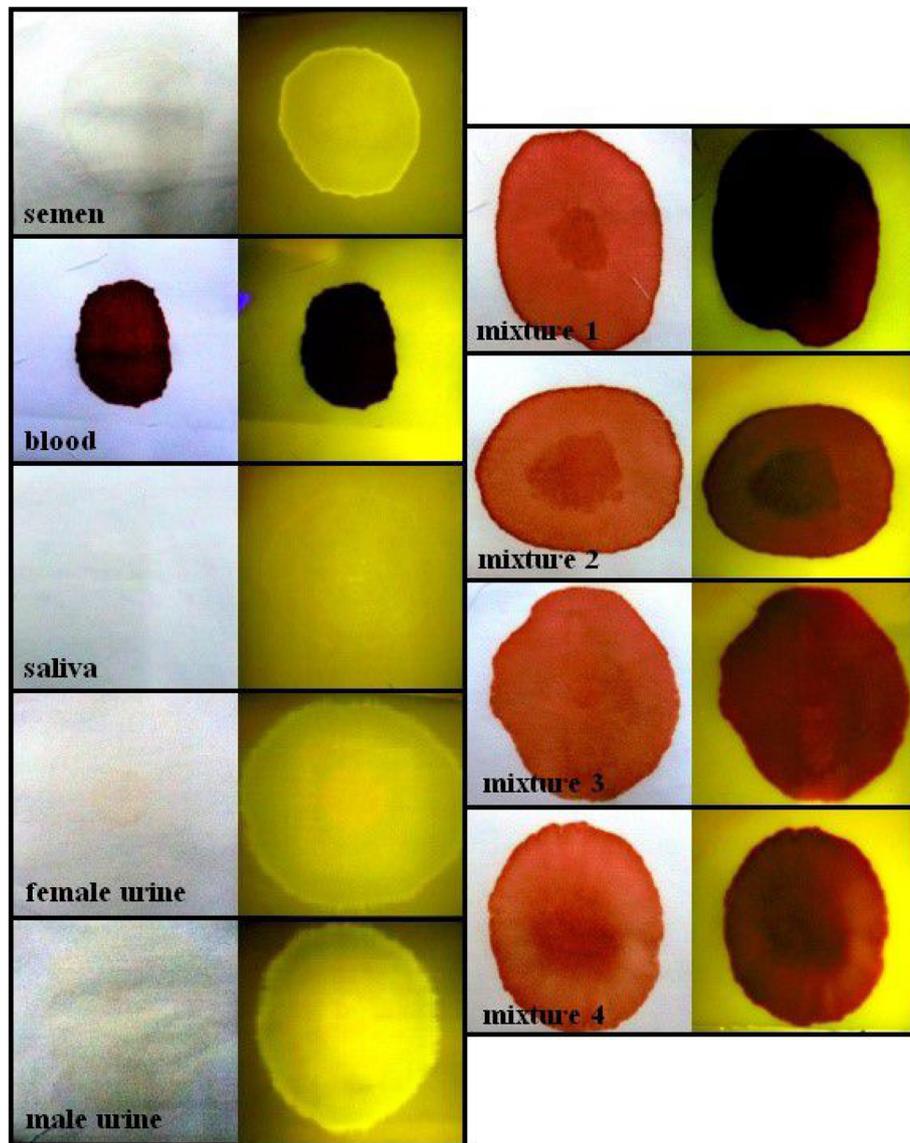


Fig. 1 Specificity and interference of Mini BLUMAXX™ III. Individual figure for each setup shows the stain on cotton-polyester under normal lighting (left) and blue light viewed through orange barrier filter goggles (right). Mixture 1: peripheral blood, saliva, and female urine (1:1:1); Mixture 2: peripheral blood, saliva, and post-ejaculatory male urine (1:1:1); Mixture 3: semen, peripheral blood, saliva, and female urine (1:1:1:1); Mixture 4: semen, peripheral blood, saliva, and post-ejaculatory male urine (1:1:1:1)

97%, while four Y-chromosomal eggs showed recoveries ranging from 35% to 74%.

Semen detection and DNA typing of post-coital samples

We proceeded only with the ALS for presumptive testing of post-coital stains and condoms due to its ability to make stains visible without moistened contact on the sample. Figure 2 presents the results of semen tests on post-coital samples. The blue light successfully located semen on underwear and in condoms. Sg-positive results were observed in 39 out of 46 samples tested (85%). The vaginal swabs had yellowish-brown coloration on the

cotton batting, whereas anal swabs were dark brown due to traces of fecal material. All five vaginal swabs were Sg positive, while two out of the five anal swabs were Sg negative. Notably, three anal swabs developed conspicuous mold growth after being stored at room temperature for several days (55–69 days) before processing. Stains on female underwear were visible even under normal lighting as yellowish-brown areas on the cloth. The stains were fluorescent in the dark when viewed through orange goggles under blue light (Additional file 1: Figure S5-A). No stain was initially visible on one underwear from the male-male couple, but using the ALS, a small

Table 3 Extraction efficiency of RSID™-Universal Buffer based on DNA quantitation

Sample code ^a	Non-incubated (ng)	RSID™-UB incubated (ng)	Extraction efficiency ^b
1_Organic	664.20	33.86	0.15
2_Organic	745.50	20.46	0.08
3_Organic	139.40	2.99	0.06
4_Organic	713.55	20.40	0.09
5_Organic	1,197.00	75.21	0.19
1_Silica-based	400.00	17.93	0.13
2_Silica-based	118.80	10.53	0.27
3_Silica-based	31.35	0.84	0.08
4_Silica-based	108.30	13.02	0.36
5_Silica-based	493.50	13.23	0.08
		Average	0.15 (± 0.10)

^aDonor_DNA extraction procedure

^bEstimated as the proportion of three times the DNA yield of RSID™-Universal Buffer incubated stain over the DNA yield of corresponding non-incubated stain

fluorescent spot was observed. The second collection had visible semen and fecal stains. The stains appeared larger and more noticeable using the ALS. Cuttings for subsequent Sg tests were obtained from these fluorescent areas on the underwear. Only one of the 20 stain cutting samples tested negative for Sg. All post-coital condoms fluoresced in the dark using the ALS when viewed through orange goggles (Additional file 1: Figure S5-B). Internal condom swabs were positive for Sg, whereas external swabs were negative to very weakly positive.

Using two extraction procedures, two CE instruments, and typing for the autosomal and Y-chromosomal STRs resulted in 360 epgs. Two-person autosomal mixtures were apparent for most samples including vaginal/anal swabs, underwear stains, and a few condom swabs. Single Y-chromosomal profiles were evident in male-female samples (Additional file 1: Figure S6) while haplotype mixtures were revealed in male-male samples (Additional file 1: Figure S7). Figure 2 shows percent allele recovery across samples, amplifications, and instruments. Recovery of autosomal and Y-chromosomal alleles from male contributors was comparable within samples due to the amount of human DNA template (0.5 ng) used in both PP21 and PPY23 reactions. However, there were a few samples which showed partial autosomal profiles of the semen contributor but revealed full Y-STR profiles (e.g., MM_Stn2e run in AB® 310). Variable recovery of unshared alleles between penetrative and receptive partner components was observed across samples. Almost all vaginal swabs except one showed full recovery of contributor alleles, whereas most anal swabs showed partial profiles. Male-male samples in general showed greater loss of alleles, but these were more frequent in

the receptive partner unlike in male-female samples where drop-outs more often occurred in the semen contributor. For samples excluding condom swabs, the majority resulted in full allele recovery of the semen component at 169 out of 232 epgs (72%). All Sg-negative samples resulted in partial profiles of the penetrative partner with exceptions in one anal swab showing complete recovery of the semen contributor depending on the extraction method and CE instrument employed (Fig. 3). Generally, alleles were better recovered in samples run using AB® 3500.

Discussion

Recommendations with defined parameters that are based on validated protocols and casework experience are needed to advance the country's capacity for sexual assault investigations. Our previous work addressed some aspects of DNA testing for sexual assault cases particularly DNA extraction procedures (Rodriguez et al. 2017) and probabilistic methods to interpret mixtures (Rodriguez et al. 2019) considering the dynamics of sexual assault investigations in the Philippines. In this study, we evaluated the sensitivity and specificity of presumptive and confirmatory tests for semen and assessed whether these could be incorporated into standard procedures for STR DNA typing.

We have demonstrated RSID™-Semen to be specific and sensitive to semen. We prepared lower dilutions of semen than those in the developmental validation (Old et al. 2012) and observed a lower limit of detection for the Sg test (0.5 nL vs. 2.5 nL) as well as a positive reaction to post-ejaculatory urine. Another advantage of the Sg test is that the buffer extract can be used directly to extract the DNA. This allows the semen test to be incorporated into the DNA typing workflow while maximizing the information obtained from a single sample. In the majority of the samples tested, the complete profile of the semen donor was generated, which as applied in casework means comparison with a reference profile can generate a strong support for the person-of-interest's contribution or non-contribution to the evidence.

A possible drawback of using the RSID™-Universal Buffer on post-coital samples is its low extraction efficiency. While the majority of UB-extracted specimens had full recovery of alleles from semen, this may not be true for actual case samples in severely compromised states. Semen samples collected from two males showed partial profiles after UB extraction. This could be due to natural variation in semen quality among men (Li et al. 2009; Molina et al. 2010; Singh et al. 2010) and adding a UB extraction step led to loss of DNA. Several factors influence DNA recovery which include sample type and condition (Rodriguez et al. 2017), time and activities since intercourse (Hall and Ballantyne 2003; Cerdas et al. 2016), semen quality of the source (Ballantyne 2012), extraction procedures (Vuichard et al.

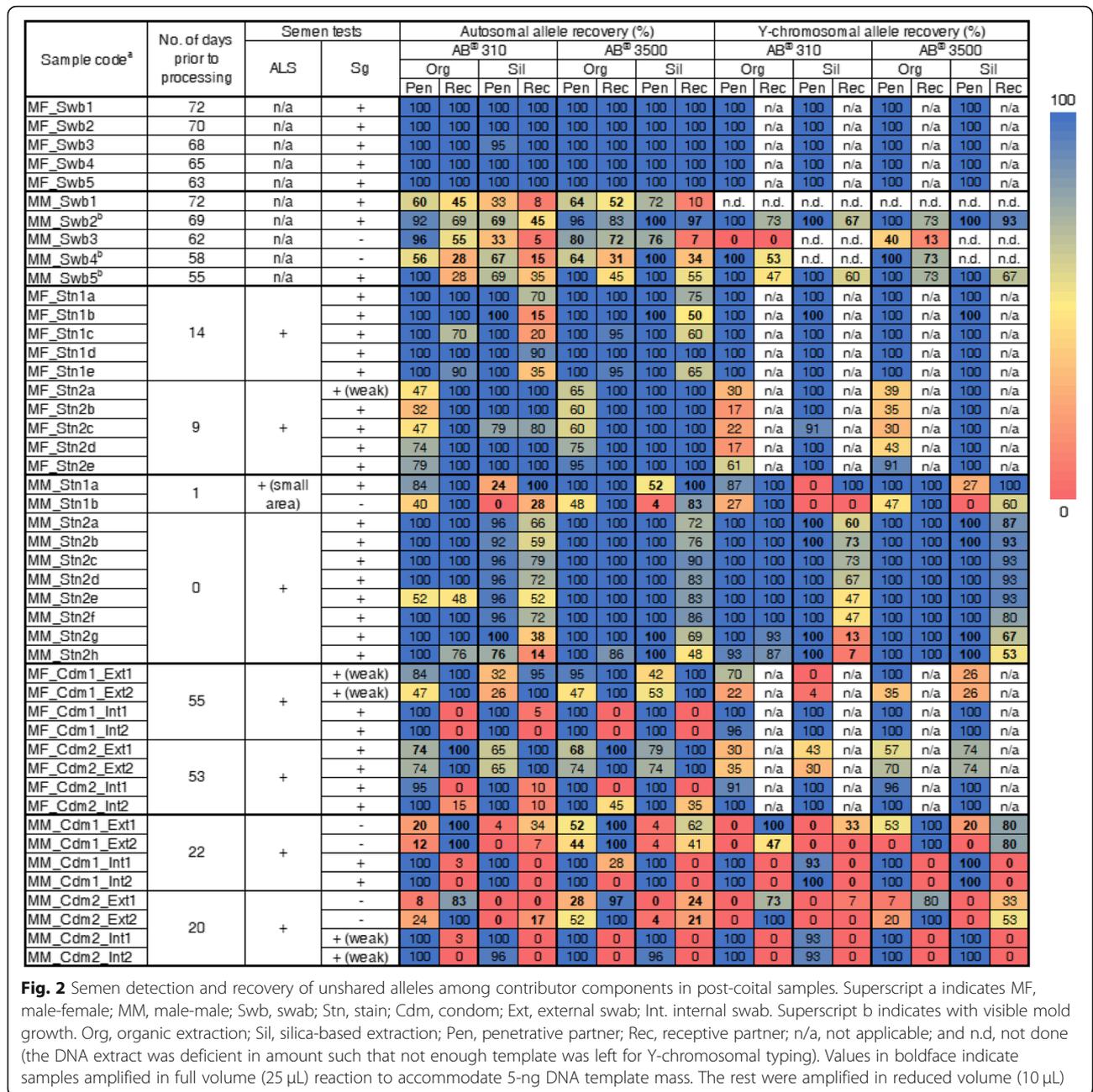


Fig. 2 Semen detection and recovery of unshared alleles among contributor components in post-coital samples. Superscript a indicates MF, male-female; MM, male-male; Swb, swab; Stn, stain; Cdm, condom; Ext, external swab; Int, internal swab. Superscript b indicates with visible mold growth. Org, organic extraction; Sil, silica-based extraction; Pen, penetrative partner; Rec, receptive partner; n/a, not applicable; and n.d., not done (the DNA extract was deficient in amount such that not enough template was left for Y-chromosomal typing). Values in boldface indicate samples amplified in full volume (25 μ L) reaction to accommodate 5-ng DNA template mass. The rest were amplified in reduced volume (10 μ L)

2011; Garvin et al. 2012; Rodriguez et al. 2017), multiplex systems (Ferreira-Silva et al. 2018), PCR and CE conditions (Hall and Ballantyne 2003; Westen et al. 2009), among others. Along the entire process, the forensic DNA analyst should therefore implement measures to maximize recovery of alleles to enable interpretation, for example using a method that enhances isolation of semen DNA. It is also for this reason that victims of sexual assault are advised not to wash or bathe following contact and to undergo medico-legal examination in the soonest possible time. Direct DNA extraction without using UB would arguably improve recovery of alleles. Therefore,

upon assessment of specimen quality and being informed of the time of collection since contact as well as the extent of the victim's narrative regarding the incident, the analyst should decide whether to perform the Sg test on the samples.

Two mold-infested anal swab samples tested negative for Sg. However, we cannot absolutely determine whether this was due to fungal growth since one mold-infested anal swab was Sg positive. Despite this, partial to full profiles of the semen source were obtained from these Sg-negative samples, consistent with previous studies reporting the recovery of autosomal and Y-STR

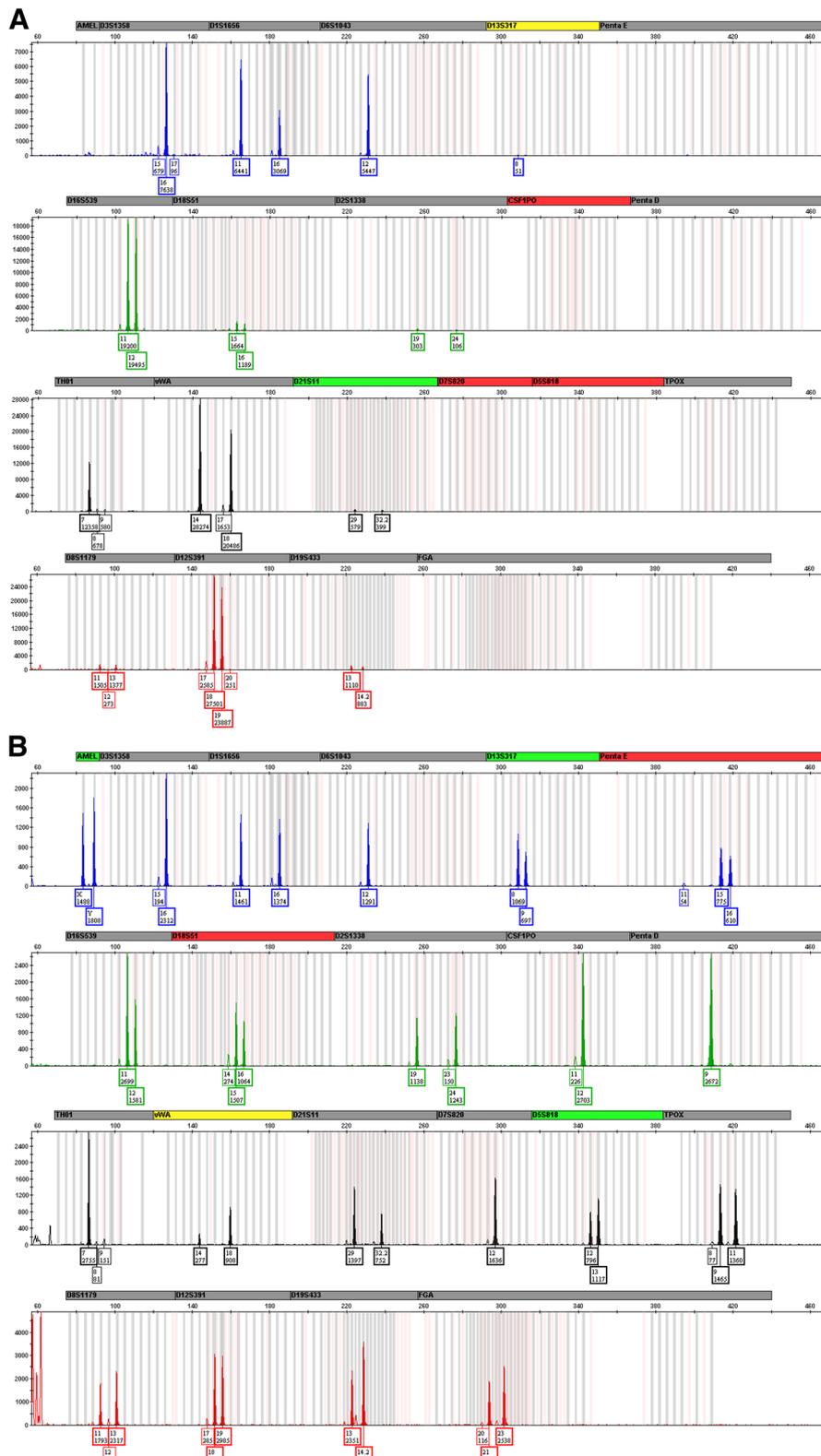


Fig. 3 Representative electropherograms for an Sg-negative and mold-infested male-male anal swab (MM_Swb4) showing partial autosomal allele recovery of the semen contributor after organic extraction (a) and full recovery of semen-derived alleles using the silica-based procedure (b). Both samples were run in AB® 3500. Semen contributor alleles are highlighted

alleles from Sg and prostate-specific antigen (PSA) negative post-coital swabs (Benschop et al. 2010; Martínez et al. 2015). Overall, these suggest that STR DNA typing is more sensitive than Sg testing and that DNA profiles of the semen source can still be obtained despite a negative semen test but with the risk of only recovering partial profiles. This has implications for DNA typing not only of samples with trace amounts of semen but also for rape cases in which the assailant failed to ejaculate (Soares-Vieira et al. 2007).

For presumptive testing, we have shown that the 450-nm light source can easily locate stains present on surfaces such as latex and light-colored cloth. This is preferred over the AP test which involved moistened contact with the material. However, blue light failed to locate semen in the presence of blood; thus, the need for an AP test if a blood-stained material is suspected to contain semen. Blue light viewed through orange filter also has limitations on certain types of fabric; thus, the utility of other wavelengths of light and various barrier filters merit further evaluation.

Based on the results of this study, we propose a workflow for Philippine forensic DNA laboratories in detecting semen on various sexual assault samples (Fig. 4). For samples present on surfaces, visual inspection under normal lighting then under blue light is recommended to narrow down the area where stains are likely located. For blood stains suspected to contain semen, parts of the stain should be tested for AP to determine areas which should be cut and incubated in UB. For condoms, swabbing should be done along the outer and inner surfaces of the latex to collect cells from the receptive person’s epithelium and the semen, respectively. To confirm the presence of semen, cuttings and swabs may be tested with RSID™-Semen if this will not further compromise DNA recovery. If initially, the Sg test is negative, dilution of the swab extract, e.g., 1/20 dilution, with UB can counteract possible high-dose hook effect (Old et al. 2012). STR DNA typing may proceed despite a negative Sg result, but this is likely to result in partial recovery. However, if multiple swabs or cuttings were tested, DNA profiling may proceed only on UB

extracts which showed the strongest positive signals. For a discussion on the use of autosomal and Y-chromosomal DNA typing on samples with a mixture of contributors, the reader is directed to the work of Rodriguez et al. (2017).

Another cause of failed detection is degradation by biological and/or chemical means. The results from the anal swabs that resulted in the growth of molds after prolonged storage at room temperature indicate the need for such samples to be processed immediately, or if not possible, to be kept at cold conditions. This is particularly important in tropical regions such as the Philippines where the warm and humid climate for much of the year can pose a problem in sample storage. Recommendations in this paper also apply to victims of sexual violence who are advised to undergo medico-legal examination within the soonest possible time and to avoid washing or bathing prior to sample collection.

Conclusions

The ALS, AP, and Sg tests are generally suitable in detecting semen from biological specimens. Procedures do not require sophisticated equipment nor advanced training for the personnel. Using Mini BLUMAXX™ III allows straightforward visualization of stains and used condoms, while the Seminal Fluid DISCHAPS™ can be used as presumptive screening for blood-stained material suspected to contain semen. The RSID™-Semen test is sensitive and specific to semen and involves simple steps which could be integrated into standard DNA profiling procedures. We emphasize however that the condition of the sample before DNA extraction remains a critical factor to the success of both semen detection and DNA analysis.

Forensic DNA laboratories with limited resources experiencing a huge backlog of sexual assault cases will benefit from adoption of these procedures to form a strategic plan for analysis, e.g., preliminary screening of samples, which samples should be processed first, and those which should be stored in cold storage. This work has

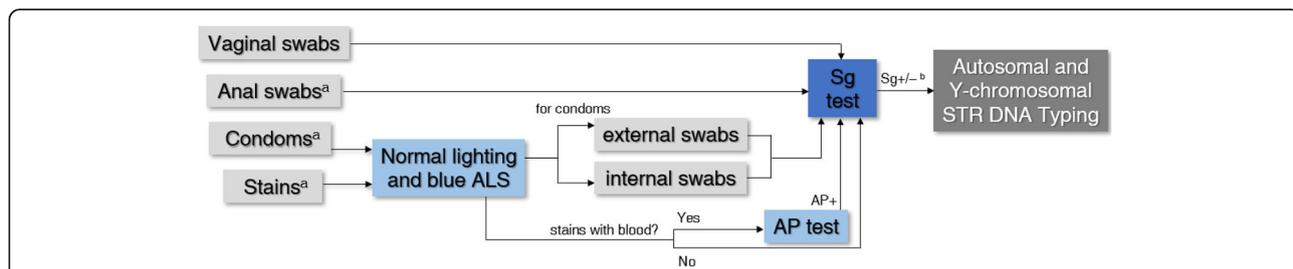


Fig. 4 A proposed workflow for semen detection in sexual assault samples. Light and dark blue shapes indicate presumptive and confirmatory tests, respectively. Superscript a indicates samples with fecal material should be processed immediately or otherwise kept in cold storage. Superscript b indicates if multiple samples from a case were tested, and only those with the strongest positive signals may proceed to DNA typing

demonstrated the usefulness of defined DNA protocols for different materials and conditions that are encountered during sexual investigations in the countries like the Philippines characterized by prolonged storage of biological samples at ambient temperatures, and limited human as well as testing resources for the immediate conduct of DNA tests on samples during forensic investigations. These methods can be adopted by other countries facing similar challenges in handling and processing of sexual assault evidence in their own local jurisdictions.

Additional file

Additional file 1: Fig. S1. Representative photographs showing sensitivity of Mini BLUMAXX™ III. Figures are concentrations in % v/v. Fig. S2. Representative photographs showing sensitivity of Seminal Fluid DISCHAPS™. Figures are concentrations in % v/v. Fig. S3. Representative photographs showing sensitivity of RSID™-Semen™. Figures are concentrations in % v/v. Fig. S4. Effect of various fabric types on semen stain visualization by Mini BLUMAXX™ III. Fig. S5. Visualization of representative specimens of post-coital underwear stain (A) and condom (B) using Mini BLUMAXX™ III. Fig. S6. Representative electropherogram showing a Y-chromosomal profile from a vaginal swab obtained from a male-female pair. Fig. S7. Representative electropherogram showing a two-person Y-chromosomal haplotype mixture from an underwear stain obtained from a male-male pair. (PDF 2600 kb)

Abbreviations

ALS: Alternate light source; AP: Acid phosphatase; CE: Capillary electrophoresis; epg: Electropherogram; H&E: Hematoxylin and eosin; NBI: National Bureau of Investigation; PBS: Phosphate buffered saline; PCR: Polymerase chain reaction; PNP: Philippine National Police; Sg: Semenogelin; STR: Short tandem repeat; UB: Universal Buffer; WCPUs: Women and Child Protection Units

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Authors' contributions

JJRBR collected the samples, designed and performed the experiments, generated and analyzed the data, and wrote and revised drafts of the manuscript. GCC collected the samples and contributed to writing the manuscript. RPL provided guidance to JJRBR and contributed to writing the manuscript. MCADU conceived the study, provided guidance to JJRBR, and contributed to writing and revising the manuscript. All authors contributed to the study design and had full approval of the submitted version.

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Availability of data and materials

Most data generated or analyzed during this study are included in this published article and its additional file. Other data analyzed during the current study are available from the corresponding author by request.

Ethics approval and consent to participate

Ethical clearance was issued by the University of the Philippines Manila Research Ethics Board (UPMREB Code: 2012-321-01). All sample donors provided written informed consent to participate.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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